

## INFORMATION DISCLOSURE STATEMENT

Applicant : Goddard, et al.  
App. No : 10/063,549  
Filed : May 2, 2002  
For : POLYPEPTIDES ENCODED BY A  
NUCLEIC ACID OVEREXPRESSED  
IN ESOPHAGEAL AND KIDNEY  
TUMOR  
Examiner : Patricia Ann Duffy  
Art Unit : 1645

## CERTIFICATE OF MAILING

I hereby certify that this correspondence and all  
marked attachments are being deposited with  
the United States Postal Service as first-class  
mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on

Oct. 16, 2006  
(Date)

AnneMarie Kaiser  
AnneMarie Kaiser, Reg. No. 37,649

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 17 references to be considered by the Examiner. Also enclosed are 17 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

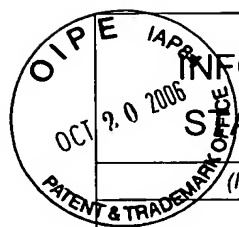
This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Oct. 16, 2006

By: AnneMarie Kaiser 10/20/2006 HBLANCO 00000011 10063549  
AnneMarie Kaiser  
Registration No. 37,649  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550 188.00 OP



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 2

Application No.	10/063,549
Filing Date	May 2, 2002
First Named Inventor	Goddard, Audrey
Art Unit	1645
Examiner	Patricia Ann Duffy
Attorney Docket No.	GNE.3230R1C34

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
----------------------	-------------	---	--------------------------------	-------------------------------	--

## FOREIGN PATENT DOCUMENTS

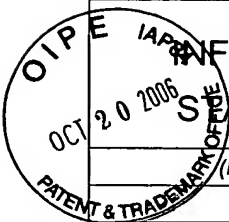
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
----------------------	-------------	--	-----------------------------------	----------------------------------	--	----------------

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	1	BERNER, et al., "Clinicopathological association of CD44 mRNA and protein expression expression in primary breast carcinomas" <i>Histopathology</i> (2003) 42:546-554.	
	2	BROOKS, et al., "cDNA array identification of genes regulated in rat renal medulla in response to vasoressin infusion" <i>Am J Physiol</i> (2003) 284:F218-F228.	
	3	Conrads, et al., "A Combined Proteome and Microarray Investigation of Inorganic Phosphate-induced Pre-osteoblast Cells" <i>Mol. Cell Proteomics</i> , 4(9):1284-1296 (2005).	
	4	Czupalla, et al., "Comparative study of proteinand mRNA expression during osteoclastogenesis" <i>Proteomics</i> 5:3868-3875 (2005).	
	5	Ginestier, et al. 2002. "Distinct and Complementary Information Provided by Use of Tissue and DNA Microarrays in the Study of Breast Tumor Markers" <i>Am. J. Pathol.</i> , 161:1223-1233.	
	6	Gronborg, et al. "Biomarker discovery from pancreatic cancer secretome using a differential proteomic approach," <i>Mol Cell Proteomics</i> . 2006, Jan;5(1):157-71. Epub 2005 Oct 8. (ABSTRACT ONLY)	
	7	HYMAN, et al. 2002. Impact of DNA amplification on gene expression patterns in breast cancer. <i>Cancer Research</i> , 62:6240-6245.	
	8	Kawamoto et al., "Expression Profiles of Active Genes in Human and Mouse Livers," <i>Gene</i> , 1996 Sep 26;174(1):151-8.	
	9	KING, et al. 2001. "Gene Expression Profile Analysis by DNA Microarrays" <i>JAMA</i> , 286(18):2280-2288.	
	10	Kwong, et al., "Synchronous global assessment of gene and protein expression in colorectal cancer progression" <i>Genomics</i> , 86:142-158 (2005).	
	11	LEDERMAN, et al. 1991. "A single amino acid substitution in a common African allele of the CD4 molecule ablates binding of the monoclonal antibody, OKT4." <i>Molecular Immunology</i> , 28(11):1171-1181.	
	12	LEE, et al. "Importance of replication in microarray gene expression studies: Statistical methods and evidence from repetitive cDNA hybridizations" <i>Proc.Natl.Acad. USA</i> , 97(18):9834-9839	
	13	ODA, et al., "Expression of MDR1/p-glycoprotein and multidrug resistance-associated protein in childhood solid tumours" <i>Virchows Arch</i> (1997) 430:99-105	
	14	SUGG, et al., "Cytoplasmic staining of <i>erbB-2</i> but not mRNA levels correlates with differentiation in human thyroid neoplasia" <i>Clinical Endocrinology</i> (1998) 49:629-637.	

Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 2 OF 2

Application No.	10/063,549
Filing Date	May 2, 2002
First Named Inventor	Goddard, Audrey
Art Unit	1645
Examiner	Patricia Ann Duffy
Attorney Docket No.	GNE.3230R1C34

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	15	Washburn, et al., "Protein pathway and complex clustering of correlated mRNA and protein expression analyses in <i>Saccharomyces cerevisiae</i> " <i>Proc. Natl. Acad. Sci.</i> 100(6): 3107-3112. (2003)	
	16	WILDSMITH, et al. "Gene Expression Analysis Using Microarrays" <i>Molecular Biology in Cellular Pathology</i> Ed. John Crocker and Paul G. Murray, pages 269-286.	
	17	Williams, et al. "Estrogen regulation of the cytochrome P450 3A subfamily in humans." <i>J Pharmacol Exp Ther.</i> 2004 Nov;311(2):728-35. Epub 2004 Jul. (ABSTRACT ONLY)	

3012447 dmn  
101206

Examiner Signature	Date Considered
<p><b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.